



Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## Complete if Known

Application Number	10/062,369
Filing Date	01/31/2002
First Named Inventor	Stephen S. Breese
Examiner Name	Pollack, Melvin H.
Art Unit	2145
Attorney Docket No.	AUS920011024US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NONPATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
MHP AR	VIGNA, "NetSTAT: A Network-based Intrusion Detection Approach", 1998, <a href="http://www.cs.ucsb.edu/~vigna/pub/vigna_kemmerer_acsac98.ps.gz">www.cs.ucsb.edu/~vigna/pub/vigna_kemmerer_acsac98.ps.gz</a> , 10 pages.
MHP AS	KUNG ET AL., "TCP Trunking for Bandwidth Management of Aggregate Traffic", 2000, <a href="http://www.eecs.harvard.edu/~htk/publication/2000-kung-wang-tcp-trunking-1100.pdf">www.eecs.harvard.edu/~htk/publication/2000-kung-wang-tcp-trunking-1100.pdf</a> , pages 1-12.
MHP AT	PARULKAR, "An Architecture for Monitoring, Visualization and Control of Gigabit Networks", 1997, <a href="http://www.cs.wustl.edu/~schmidt/PDF/NMVC.pdf">www.cs.wustl.edu/~schmidt/PDF/NMVC.pdf</a> , pages 1-21.

Examiner:

Date Considered:

2/22/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**Complete if Known**

Application Number	10/062,369
Filing Date	01/31/2002
First Named Inventor	Stephen S. Breese
Examiner Name	Pollack, Melvin H.
Art Unit	2145
Attorney Docket No.	AUS920011024US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MHP	AA	US 6,401,119 B1	Fuss et al.	
	AB	US 6,425,006 B1	Chari et al.	
	AC	US 6,000,045	Lewis, Lundy	
	AD	US 6,430,712 B2	Lewis, Lundy	
	AE	US 6,766,368 B1	Jakobson et al.	
	AF	US 5,742,819	Caccavale, Frank Samuel	
	AG	US 6,779,032 B1	Hericourt, Olivier	
	AH	US 6,892,235 B1	Daude et al.	
	AI	US 2004/0064546 A1	Olsson et al.	
	AJ	US 2004/0205184 A1	Olsson et al.	
	AK	US 2003/0145080 A1	Breese et al.	
MHP	AL	US 6,885,302 B2	Seal et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
MHP	AM PAXSON ET AL., "An Architecture for Large-Scale Internet Measurement", 1998, <a href="ftp://ee.lbl.gov/papers/nimi-ieee-comm98.ps.gz">ftp://ee.lbl.gov/papers/nimi-ieee-comm98.ps.gz</a> , pages 1-9.
MHP	AN PHILLIPS ET AL., "The FERET Evaluation Methodology for Face-Recognition Algorithms", 1999, <a href="http://sequoyah.ncsl.nist.gov/pub/nist_internal_reports/ir_6264.ps.Z">sequoyah.ncsl.nist.gov/pub/nist_internal_reports/ir_6264.ps.Z</a> , pages 1-20.
MHP	AO RUSPINI ET AL., "The Haptic Display of Complex Graphical Environments", 1997, <a href="http://robotics.stanford.edu/people/krasi/Siggraph97.ps.Z">robotics.stanford.edu/people/krasi/Siggraph97.ps.Z</a> , 8 pages.
MHP	AP BLOTT ET AL., "User-Level Billing and Accounting in IP Networks", 1999, <a href="http://www.bell-labs.com/user/blott/BLTJ00.pdf">www.bell-labs.com/user/blott/BLTJ00.pdf</a> , pages 1-15.
MHP	AQ DYKES ET AL., "An Empirical Evaluation of Client-side Server Selection Algorithms", 2000, <a href="http://www.ieee-infocom.org/2000/papers/700.pdf">www.ieee-infocom.org/2000/papers/700.pdf</a> , 10 pages.

Examiner:

*Melvin H. Pollack*

Date Considered:

*2/22/06*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# BEST AVAILABLE COPY

PTO/SB/(08-03)  
Approved for use through 07/31/2008. OMB 0851-0031  
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application Number	10/062,369
Filing Date	01/31/2002
First Named Inventor	Stephen S. Breese
Examiner Name	Pollack, Melvin H.
Art Unit	2145
Attorney Docket No.	AUS920011024US1

Examiner Initials		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MHP	AA	US 2003/0167406 A1	09-04-2003	Beavers, John B.	
I	AB	US 2002/0161875 A1	10-31-2002	Raymond, Robert L.	
I	AC	US 2002/0087882 A1	07-04-2002	Schneier et al.	
I	AD	US 2002/0073195 A1	06-13-2002	Hellerstein et al.	
MHP	AE	US 2002/0004828 A1	01-10-2002	Davis et al.	

### NON PATENT U.S. DOCUMENTS

Examiner Initials	Serial Number	Filing Date	Inventor or Author	Applicant's Docket Number

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner: Melvin H. Pollack Date Considered: 2/22/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO   <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; padding: 2px;">Complete if Known</th> </tr> <tr> <td style="width: 50%; padding: 2px;">Application Number</td> <td style="padding: 2px;">10/062,369</td> </tr> <tr> <td style="padding: 2px;">Filing Date</td> <td style="padding: 2px;">01/31/2002</td> </tr> <tr> <td style="padding: 2px;">First Named Inventor</td> <td style="padding: 2px;">Stephen S. Breese</td> </tr> <tr> <td style="padding: 2px;">Examiner Name</td> <td style="padding: 2px;">Pollack, Melvin H.</td> </tr> <tr> <td style="padding: 2px;">Art Unit</td> <td style="padding: 2px;">2145</td> </tr> <tr> <td style="padding: 2px;">Attorney Docket No.</td> <td style="padding: 2px;">AUS920011024US1</td> </tr> </table>	Complete if Known		Application Number	10/062,369	Filing Date	01/31/2002	First Named Inventor	Stephen S. Breese	Examiner Name	Pollack, Melvin H.	Art Unit	2145	Attorney Docket No.	AUS920011024US1
Complete if Known															
Application Number	10/062,369														
Filing Date	01/31/2002														
First Named Inventor	Stephen S. Breese														
Examiner Name	Pollack, Melvin H.														
Art Unit	2145														
Attorney Docket No.	AUS920011024US1														

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MHP	AU	US 6,449,739 B1	09-10-2002	Amnon Landan
MHP	AV	US 6,108,700	08-22-2000	Maccabee et al.
MHP	AW	US 5,812,780	09-22-1998	Chen et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE	
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner: Melvin H. Pollack Date Considered: 2/22/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.